



INVESTMENTS INFORMATION

First, is the company publicly traded?

Go to: <http://www.sec.gov/edgar/searchedgar/cik.htm> The US Securities and Exchange Commission (SEC) Central Index Key (CIK): "to identify corporations and individual people who have filed disclosure with the SEC."

What sources will give investment information about the company?

The "Investments Tables"

Hoovers Company Reports Databases Files for data on 40,000 Companies (includes private companies). Information includes company history, financial data, products or services, a list of competitors, and executives and board members.

Mergent's Handbook of Common Stocks Ref HG450I .M59 (Table #1)

Mergent Online (online version of *Mergent*) **Databases** brings financial data on over 10,000 US companies as well as International companies listed US exchanges.

Mergent Industrials, OTC, International, Public Utilities, etc. (formerly Moody's)
Ref HG49XX .M(various) (Table #1)

Standard and Poor's Corporate Records Ref HG450I .S7 (Table #1)

Mutual Funds Update Thomson Financial Monthly Ref HG4497 .W48(Table #1)

Use this and *Mergents Bank and Finance* v.2 for mutual funds.

Value Line Investment Survey Ref HG450I .V26 (ask at the Reference Desk)

Graphs (Periodicals/4th floor)

Daily Graphs. NASDAQ Stock Market Edition HG4916 .D32

Daily Graphs. New York & American Stock Exchange Edition HG4916 .D33

Annual Reports/SEC Filings

Mergent Online (online version of *Mergent*) **Databases** *Mergent* includes financials and *Edgar* filings.

LEXIS/NEXIS Universe Databases

Select "Business" tab, and then "SEC Filings" from right hand column. Search by company name or ticker symbol. Choose the type of SEC report (10-K, Proxy Statements, etc.) **Note also that you can sort companies with the "Dossier Create a Company List" feature** from right hand column: by state combined with either Total Revenues or Total Assets.

Websites by Subject **Library Home Page** *EDGAR (SEC Filings)* <http://www.sec.gov/cgi-bin/srch-edgar>, Financial data full-text from the US SEC [10(K), 8(K), 10(Q), etc.]. See also the Library Tutorial “Help - Finding Annual Reports” at: http://www.csupomona.edu/~library/help/help_annualreports.html

Additional Investments Information Sources

Barron's weekly, HG1 .B3 Periodicals, Newspapers, 4th Floor
Mergent Bond Record Ref HG4905 .M78
Mergent Dividend Record Ref HG4908 .M6
Standard and Poor's Bond Guide Ref HG4905 .S435
Standard and Poor's Annual Dividend Record Ref HG4908 .S77
Security Owners Stock Guide (Standard and Poor's), monthly, Ref HG4961 .S8
Wall Street Journal Newspapers, 4th floor. Index, Sixth Floor Ref HG1 .W26
The *Journal* is carried **full text** in the *ABI/Inform* and *Factiva Databases*

“Stock Market Prices” page on *Websites by Subject*, **Library Home Page**
For current and historical prices. For ticker symbol see *Global Symbol Database* link on: <http://www.csupomona.edu/~library/websites/stockquotes.html>

What sources will give investments information about an industry?

Manufacturing and Distribution U.S.A. 3v Ref HD9721 .M364 (Table #1)
Data arranged by NAICS code for major industries. The leading companies are ranked for the industry. There is also location by state and regional concentration.

Snapshot Series North America **Databases**

Search by industry or select the “Publications” tab, then the “By Industry” tab to see a listing of major industry groups. Open the “View Documents” link for the listing of individual reports.

Standard and Poor's Industry Surveys 3v quarterly Ref HC106.6 .S74 (Table #1)
Fourteen broad industries and the companies they represent.

Value Line Investment Survey Ref HG4501 .V26 (ask at the Reference Desk)
Value Line includes a succinct “Industry Timeliness” report on 92 industries.

How can I find articles about an industry or company?

ABI/Inform Global **Databases**

You can search directly for "Company/Org"; select **Advanced Search**.

Factiva **Databases**

Access to 9,000 business publications.

Business Full Text (Wilson Web) **Databases** Some 350 essential business periodicals are included in this index; abstracts, and some full text.

For use of the databases consult **Business Subjects Databases** guide:
http://www.csupomona.edu/~library/tutorials/business_databases.html